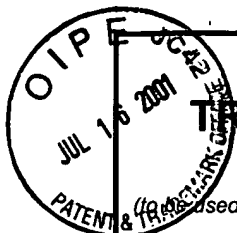


#3



# TRANSMITTAL FORM

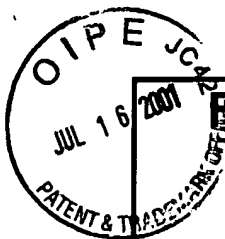
(to be used for all correspondence after initial filing)

|   |   |  |
|---|---|--|
| <b>Application Number</b>                       |   | 61,23,437                                  |
| <b>Filing Date</b>                              |   | March 30, 2001                             |
| <b>First Named Inventor</b>                     |   | Hao Pan et al.                             |
| <b>Group Art Unit</b>                           |   |  |
| <b>Examiner Name</b>                            |   |  |
| <b>Total Number of Pages in this Submission</b> | 7 | <b>Attorney Docket Number</b> KLR 7146.102 |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee transmittal<br><input type="checkbox"/> Fee attached form<br><input type="checkbox"/> Amendment<br><input type="checkbox"/> After Final/ Response<br><input checked="" type="checkbox"/> Affidavits/Declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified copy of Priority Documents<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing Related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosures (identify below)<br>Our Check in the amount of \$130, an acknowledgment postcard |
| Remarks:  |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |                  |
|---|------------------|
| Firm or Individual Name                   | Kevin L. Russell |
| Signature                                 |                  |
| Date                                      | July 10, 2001    |

| CERTIFICATE OF MAILING   |                  |      |               |
|--|------------------|------|---------------|
| I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C., 20231 |                  |      |               |
| <input checked="" type="checkbox"/> with sufficient postage as first class mail<br><input type="checkbox"/> as "Express Mail Post Office to Addressee" mailing label no. _____   |                  |      |               |
| Type or print name   | Kevin L. Russell |      |               |
| Signature  |                  | Date | July 10, 2001 |



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/823,437     |
| Filing Date          | March 30, 2001 |
| First Named Inventor | Pan et al.     |
| Examiner Name        |                |
| Group/ Art Unit      |                |
| Attorney Docket No.  | KLR 7146.102   |

TOTAL AMOUNT OF PAYMENT \$130

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550  
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 710      | 201      | 355      | Utility filing fee     |          |
| 106      | 320      | 206      | 160      | Design filing fee      |          |
| 107      | 490      | 207      | 245      | Plant filing fee       |          |
| 108      | 710      | 208      | 355      | Reissue filing fee     |          |
| 114      | 150      | 214      | 75       | Provisional filing fee |          |

SUBTOTAL (1) \$0

2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20 =        |                |          |
| Indep. Claims      | -3 =         |                |          |
| Multiple Dependent |              |                |          |

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                   |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                        |
| 104      | 270      | 204      | 135      | Multiple dependent claim, if not paid                    |
| 109      | 80       | 209      | 40       | **Reissue independent claims over original patent        |
| 110      | 18       | 210      | 9        | *Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  | \$130    |
| 127      | 50       | 227      | 25       | Surcharge-late provisional filing fee or cover sheet                       |          |
| 139      | 130      | 139      | 130      | Non-English specification  |          |
| 147      | 2,520    | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1840*    | 113      | 1840*    | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116      | 390      | 216      | 195      | Extension for reply within second month                                    |          |
| 117      | 890      | 217      | 445      | Extension for reply within third month                                     |          |
| 118      | 1,390    | 218      | 695      | Extension for reply within fourth month                                    |          |
| 128      | 1,890    | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119      | 310      | 219      | 155      | Notice of Appeal   |          |
| 120      | 310      | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121      | 270      | 221      | 135      | Request for oral hearing   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141      | 1,240    | 241      | 620      | Petition to revive - unintentional   |          |
| 142      | 1,240    | 242      | 620      | Utility issue fee (or reissue)   |          |
| 143      | 440      | 243      | 220      | Design issue fee   |          |
| 144      | 600      | 244      | 300      | Plant issue fee  |          |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123      | 50       | 123      | 50       | Petitions related to provisional applications                              |          |
| 126      | 240      | 126      | 240      | Submission of Information Disclosure Statement                             |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146      | 710      | 246      | 355      | Filing a submission after final rejection (37 C.F.R. 1.129(a))             |          |
| 149      | 710      | 249      | 355      | For each additional invention to be examined (37 C.F.R. 1.129(b))          |          |
| 169      | 900      | 169      | 900      | Request for Expedited Examination of a Design Application                  |          |

Other (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130

SUBMITTED BY

Complete (if applicable)

|                   |                  |                  |        |           |                |
|-------------------|------------------|------------------|--------|-----------|----------------|
| Name (print type) | Kevin L. Russell | Registration No. | 38,292 | Telephone | (503) 227-5631 |
| Signature         |                  |                  |        | Date      | July 10, 2001  |



Sector

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/823,437         | 03/30/2001          | Hao Pan               | KLR 7146.102           |

CONFIRMATION NO. 5693

## FORMALITIES LETTER



\*OC000000006079679\*

Kevin L. Russel  
Suite 1600  
601 SW Second Ave.  
Portland, OR 97204

Date Mailed: 05/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 CCHAU1 00000081 09823437

01 FC:105

130.00 OP